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January 13, 2000

JAN 21 2000

FILE: VBLT:002

TECH CENTER 1600/2900

CERTIFICATE OF MAILING 37 C.F.R. 1.8	
I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date below:	
1/13/00 Date	 Signature

Assistant Commissioner for Patents  
Washington, DC 20231

RE: *USSN 09/129,565 for "INHIBITION OF VIRAL INFECTION AND SPREAD WITH VIRAL AND RHOA-DERIVED PEPTIDES" by Graham & Pastey (Client ref.: 9849)*

Sir:

Enclosed for filing in the above-referenced patent application is:

- (1) An Election and Power of Attorney and Change of Correspondence Address, with an attached copy of the Assignment document being filed concurrently herewith;
- (2) A return postcard to acknowledge receipt of these materials. Please date stamp and mail this postcard.

No fees are believed to be due in connection with the filing of this document; however, should any fees under 37 C.F.R §§ 1.16 to 1.21 be deemed necessary for any reason relating to the enclosed materials, the Commissioner is hereby authorized to deduct said fees from Arnold, White & Durkee Deposit Account No. 01-2508/VBLT:002/HYL.

Respectfully submitted,

Steven L. Highlander  
Registration No. 37,642

encl.



#11  
MB  
02/08/00  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Barney S. GRAHAM and Manoj PASTEY

Serial No.: 09/129,565

Filed: 08/05/98

For: INHIBITION OF VIRAL INFECTION  
AND SPREAD WITH VIRAL AND  
RHOA-DERIVED PEPTIDES

Group Art Unit: 1648

Examiner: L. Scheiner

Atty. Dkt. No.: VBLT:002

JAN 21 2000  
TECH CENTER 1600/2900

ELECTION UNDER 37 C.F.R. §§ 3.71 AND 3.73  
AND POWER OF ATTORNEY  
AND CHANGE OF CORRESPONDENCE ADDRESS

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

*assigned OK*

The undersigned, being Assignee of record of the entire interest in the above-identified application by virtue of an assignment recorded in the United States Patent and Trademark Office as set forth below, hereby elects, under 37 C.F.R. § 3.71, to prosecute the application to the exclusion of the inventors.

The Assignee hereby revokes any previous Powers of Attorney and appoints:

Louis T. Pirkey, Reg. No. 22,393; Floyd R. Nation, Reg. No. 27,580; William D. Raman, Reg. No. 29,578; Richard J. Groos, Reg. No. 32,231; David L. Parker, Reg. No. 32,165; Stephen D. Dellett, Reg. No. 32,564; William G. Barber, Reg. No. 33,154; David D. Bahler, Reg. No. 30,932; Charles D. Huston, Reg. No. 31,027; Michael S. Metteauer, Reg. No. 34,875; Amber L. Hatfield, Reg. No. 36,824; Mark B. Wilson, Reg. No. 37,259; Steven L. Highlander, Reg. No. 37,642; Mark A. Thurmon, Reg. No. 39,858; G. Scott Thomas, Reg. No. 39,855; Teresa J. Bowles, Reg. No. 40,526; Stephen P. Meleen, Reg. No. 40,724; Mark J. Rozman, Reg. No. 42,117; Nicole Stafford, Reg. No. 43,929; Richard A. Nakashima, Reg. No. 42,023; Robert Hanson, Reg. No. 42,628; Gina N. Shishima, Reg. No. 45,104; Chad Anson, Reg. No. 44,510; Michael C. Barrett, Reg. No. 44,523; Mark T. Garrett, Reg. No. 44,699; Jonathan D. Hurt, Reg. No. 44,790; and J. Paul Williamson, Reg. No. 29,600;

each an attorney or agent of the firm of ARNOLD WHITE & DURKEE, as its attorney or agent for so long as they remain with such firm, with full power of substitution and revocation, to prosecute the application, to make alterations and amendments therein, to transact all business in

12/09/99 THU 11:51 FAX 5124747571

the Patent and Trademark Office in connection therewith, and to receive any Letters Patent, and for one year after issuance of such Letters Patent to file any request for a certificate of correction that may be deemed appropriate.

Pursuant to 37 C.F.R. § 3.73, the undersigned has reviewed the evidentiary documents, specifically the Assignment to Vanderbilt University, referenced below, and certifies that to the best of my knowledge and belief, title remains in the name of the Assignee.

Please direct all communications as follows:

Steven L. Highlander  
ARNOLD WHITE & DURKEE  
750 Bering Drive  
Houston, Texas 77057-2198  
(512) 418-3000

ASSIGNEE:  
VANDERBILT UNIVERSITY

By: Larry R. Steranka  
Name: Larry R. Steranka  
Title: Director, office of Tech Transfer  
Date: Dec 15, 1999

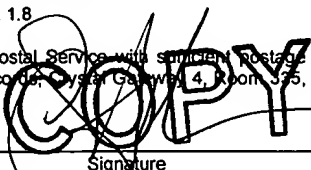
ASSIGNMENT:

☒ Concurrently filed  
☐ Previously recorded  
Date: -----  
Reel: -----  
Frames: -----

COPY

FORM PTO-1595 (Rev. 6-93)		RECORDATION FORM COVER SHEET		U.S. Department of Commerce	
OMB No. 0651-0011 (exp. 4/94)		PATENTS ONLY		Patent and Trademark Office	
To the Honorable Commissioner of Patents and Trademarks: Please record the attached original document or copy thereof.					
1. Name of conveying party(ies):  Barney S. GRAHAM and Manoj PASTEY Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			2. Name and address of receiving party(ies):  Name: VANDERBILT UNIVERSITY Internal Address:  Street Address: Box 6009, Station B City: Nashville State: TN Zip: 37235 Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Security Agreement <input type="checkbox"/> Other Execution Date: 12/9/99			<input type="checkbox"/> Merger <input type="checkbox"/> Change of Name  Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
4. Application number(s) or registration number(s):  If this document is being filed together with a new application, the execution date of the application is: A. Patent Application No.(s): 09/129,565 B. Patent No.(s):  Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			5. Name and address of party to whom correspondence concerning document should be mailed:  Name: Steven L. Highlander Arnold White & Durkee P.O. Box 4433  City: Houston State: Texas Zip: 77210		
6. Total number of applications and patents involved: <u>1</u>			7. Total fee (37 C.F.R. 3.41) \$ <u>40.00</u> <input checked="" type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Authorized to be charged to deposit account if check insufficient or inadvertently omitted		
8. Deposit account number: <u>01-2508/VBLT:002/HYL</u> (Attach duplicate copy of this page if paying by deposit account)					
DO NOT USE THIS SPACE					
9. Statement and signature. <i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</i>  Steven L. Highlander Name of Person Signing, Reg. No. 37,642  Signature Date 1/13/00 Total number of pages including cover sheet, attachments and documents: <u>3</u>					

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents and Trademarks  
Box Assignment  
Washington, D.C. 20231

CERTIFICATE OF MAILING 37 C.F.R. 1.8	
I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner of Patents and Trademarks, Office of Public Records, Crystal Gateway 4, Room 335, Washington, DC 20231, on the date below:	
Date 1/13/00	Signature 

ASSIGNMENT

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency and adequacy of which are hereby acknowledged, the undersigned, do hereby:

SELL, ASSIGN AND TRANSFER to VANDERBILT UNIVERSITY (the "Assignee"), having a place of business at Box 6009, Station B. Nashville, Tennessee 37235, the entire right, title and interest for the United States and all foreign countries in and to any and all improvements which are disclosed in the application for United States Letters Patent, Serial No. 09/129,565 which has been filed on August 5, 1998 and is entitled "INHIBITION OF VIRAL INFECTION AND SPREAD WITH VIRAL AND RHOA-DERIVED PEPTIDES", such application and all divisional, continuing, substitute, renewal, reissue and all other applications for patent which have been or shall be filed in the United States and all foreign countries on any of such improvements; all original and reissued patents which have been or shall be issued in the United States and all foreign countries on such improvements; and specifically including the right to file foreign applications under the provisions of any convention or treaty and claim priority based on such application in the United States;

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such improvements to the Assignee;


WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by the undersigned, and that the full right to convey the same as herein expressed is possessed by the undersigned;

COVENANT, when requested and at the expense of the Assignee, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all such improvements; execute all rightful oaths, declarations, assignments, powers of attorney and other papers; communicate to the Assignee all facts known to the undersigned relating to such improvements and the history thereof; and generally do everything possible which the Assignee shall consider desirable for vesting title to such improvements in the Assignee, and for securing, maintaining and enforcing proper patent protection for such improvements;

TO BE BINDING on the heirs, assigns, representatives and successors of the undersigned  
and extend to the successors, assigns and nominees of the Assignee.

Signature:   
Name: BARNEY S. GRAHAM

Date: 12/9/99

Signature:   
Name: MANOJ PASTEY

Date: 12/9/99.

\* \* \* \* \*

**ARNOLD WHITE & DURKEE**

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